



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1714  
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Application of: Ram B. Gupta et al.

Application No.: 10/039,933

Filed: November 9, 2001

For: Phenyl Ether-Substituted Hydroxyphenyl  
Triazine Ultraviolet Light Absorbers

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Group Art Unit: Not Yet Known

Examiner: Not Yet Known

Docket: 00016-00

Date: March 3, 2003

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.56 AND § 1.97**

RECEIVED  
MAR 17 2003  
GROUP 1700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

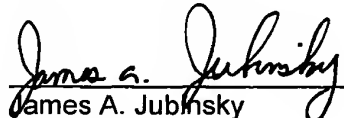
In accordance with the continuing duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the document listed on the attached form PTO 1449. A copy of the reference is provided herewith.

Identification of the listed documents is not to be construed as an admission of Applicants or Attorneys for Applicants that such reference is available as "prior art" against the subject application.

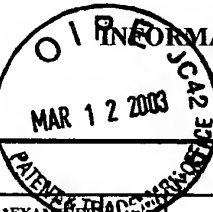
Applicants respectfully request that the Examiner review the foregoing documents and that the documents be made of record in the file history of the application.

Pursuant to 37 CFR § 1.97(b), since this Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, Applicants believe no fee is due in connection with the filing of this Supplemental Information Disclosure Statement. However, should the Patent and Trademark Office determine otherwise, please charge the required fee to Deposit Account 03-4083.

Respectfully submitted,

  
James A. Jubinsky 42,700  
(Reg. No.)

Cytec Industries Inc.  
Patent Law Department  
1937 West Main Street / P.O. Box 60  
Stamford, CT 06904-0060  
Tel: (203) 321-2913 / Fax: (203) 321-2971

 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>				Docket Number (Optional) <b>00016-00</b>		Application Number <b>10/039,933</b>		
				Applicant(s) <b>Ram B. Gupta et al.</b>				
				Filing Date <b>November 9, 2001</b>		Group Art Unit <b>Not Yet Known</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A	3,221,698	Oct 12 1965	Johns et al.	260	47	Jul 12 1961	
	B	6,184,375 B1	Feb 6 2001	Hüglin et al.	544	116	Nov 19 1997	
	C							
	D							
	E							
	F							
	G							
	H							
	I							
	J							
	K							
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	L	160452A	Nov 27 '00	Japan				✓
	M	WO 00/14074	Sep 1 1999	PCT				
	N	WO 99/67227	Jun 18 1999	PCT				
	O	GB 1,028,923	Mar 11 '63	Great Britain				
	P							
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	Q	Y. Chang et al., "Hyperbranched Poly(ether sulfone) with 1,3,5-s-Triazine Moiety," Korea Polymer Journal, Vol. 8, No. 3, pp 142-146 (2000)						
	R							
EXAMINER					DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 00016-00	
In Re Application Of: <b>Ram B. Gupta et al.</b>			
Serial No. <b>10/039,933</b>	Filing Date <b>November 9, 2001</b>	Examiner <b>Not Yet Known</b>	Group Art Unit <b>Not Yet Known</b>
Title: <b>PHENYL ETHER-SUBSTITUTED HYDROXYPHENYL TRIAZINE ULTRAVIOLET LIGHT ABSORBERS</b>			
Address to: <b>Assistant Commissioner for Patents          Washington, D.C. 20231</b>			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

00016-00

In Re Application: Ram B. Gupta et al.

Serial No.

10/039,933

Filing Date

November 9, 2001

Examiner

Not Yet Known

Group Art Unit

Not Yet Known

**PHENYL ETHER-SUBSTITUTED HYDROXYPHENYL TRIAZINE ULTRAVIOLET LIGHT ABSORBERS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-4083 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

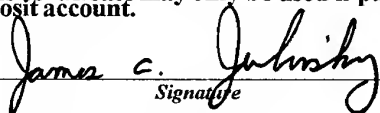
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**I certify that this document and fee is being deposited on Mar. 5, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mary E. Miller

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

James A. Jubinsky

Registration No. 42,700

Dated: 3/5/2003

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cc:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Ram B. Gupta et al.**

Docket No.

**00016-00**

Serial No.

**10/039,933**

Filing Date

**November 9, 2001**

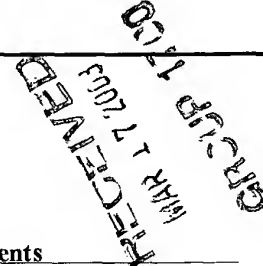
Examiner

**Not Yet Known**

Group Art Unit

**Not Yet Known**

Invention:

**PHENYL ETHER-SUBSTITUTED HYDROXYPHENYL TRIAZINE ULTRAVIOLET LIGHT ABSORBERS**I hereby certify that this Supplemental Information Disclosure Statement with Attachments  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

Mar. 5 2003  
(Date)**Mary E. Miller**

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature of Mary E. Miller in cursive script.

(Signature of Person Mailing Correspondence)

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